

UNITED STATES PATENT APPLICATION

FOR

**DATA EXTRACTION SYSTEM  
FOR PACKET ANALYSIS**

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## FIELD OF THE INVENTION

[001] The present invention relates to data item analysis and in particular methods and apparatus for packet analysis.

## BACKGROUND OF THE INVENTION

[002] A popular method for exchanging data between computers or data processing systems is to group or break the data into packets or data items and transmit the data items over a channel to one or more other data processing systems. The data processing systems and the channel may be considered a computer network. At times, the data item may have to pass through or hop between one or more intermediate data processing systems, such as routers, before reaching its final destination. For purposes of discussion, the sending system is referred to as a source station, the receiving system is referred to as a destination station, (collectively end stations) while intermediate systems or relay points are referred to as nodes. It is contemplated that each node may include numerous ports, each of which connects to a separate channel. One example of a node is a router. One example of this topology is a packet switched network.

[003] Each data item may be comprised of a header and a payload. One example of a data item is a packet. The header comprises data indicating the source and destination of the data item, the type of service or any other various information as may be desired to associated with the data item. The payload comprises the data or information that is being sent to a different location or exchanged between end stations.

[004] The data item may assume one of several different formats or protocols. Over time, different protocols have been developed to adapt to the changing needs and requirements of computer networks. The protocol determines how the data item, and in particular the header, is arranged. For example, the destination address may reside at a different location in the header depending on the particular protocol of the data item.

[005] At various nodes in the network the header or other similar aspect of the data item is analyzed so that the node may determine how to process the data item. For example, the destination of the data item may need to be analyzed to select an output port on which to forward the data item.

[006] In systems of the prior art, such as those that process packets in a packet switched network, the header analysis is performed by a CPU operating in

association with software. Upon receipt of a data item, the header may be analyzed by the CPU to obtain header information for use in processing the packet.

[007] The packet analysis has traditionally been performed using complex software algorithms to perform software functions on header data to find the required data. The CPU executes memory read operations to obtain the desired header information from the packet stored in memory.

[008] The methods and systems of the prior art suffer from many drawbacks. One such drawback is that prior art methods and systems require an undesirably long period of time to execute the desired operation. As technology advances, increases in network channel data transmission rates have outpaced advances in CPU and software capability. Attempts have been made to optimize search algorithms but the steps of accessing memory is a cycle consuming process. As a result, the processing has become a bottleneck in the transmission of payload data over packet switched networks.

[009] The present invention overcomes the drawbacks and limitations of the prior art by providing a method and apparatus for header analysis and header information extraction.

## SUMMARY OF THE INVENTION

[010] The invention provides a method and apparatus for data item, such as a packet, processing and data extraction for use in processing of the packet. The invention provides a method and apparatus to obtain and use a robust, content rich search key having greater data capacity than the prior art methods and as a result, yields more robust and content rich packet processing control instructions. The results of the processing may provide control instructions to assist in packet routing, priority assignment, drop assignment, queue assignment, multicast or simulcast assignments, input control monitoring data, output monitoring control data, and potential modifications to the packet.

[011] In one embodiment, a method for processing a packet to determine packet routing information comprises receiving a packet such as a packet having a header and a payload. Thereafter, copying at least a portion of the first two bytes of the header. It is contemplated that the within the first two bytes of a header is information that reveals the arrangement of the header. Desired header information may be located at different locations in different protocols. Next, the method inputs at least a portion of the first two bytes of the header into a first





parameters. A second look-up device is in communication with the search key extraction device and configured to match the search key data to obtain packet processing control data. In one embodiment the first and second look-up devices comprise content addressable memory. In one embodiment the first and second state machines comprise a single state machine. The offset parameters may define the location within the packet of the search key data.

[014] Further objects, features, and advantages of the present invention over the prior art will become apparent from the detailed description of the drawings which follows, when considered with the attached figures.



## DESCRIPTION OF THE DRAWINGS

Figure 1 illustrates an example packet.

Figure 2 illustrates an example header configuration.

Figure 3 illustrates an example packet with a tag.

Figures 4A and 4B illustrate an exemplary process diagram of an example process of one embodiment of the invention.

Figure 5 illustrates a block diagram of one example embodiment of the invention.

Figure 6 illustrates a block diagram of one example embodiment of a data extraction mechanism.

Figure 7 illustrates an operational flow diagram of an example method of operation.

## DETAILED DESCRIPTION OF THE INVENTION

[015] The invention is a method and apparatus for protocol analysis and header information extraction. In the following description, numerous specific details are set forth in order to provide a more thorough description of the present invention. It will be apparent, however, to one skilled in the art, that the present invention may be practiced without these specific details. In other instances, well-known features have not been described in detail so as not to obscure the invention

### **Example Environment**

[016] One example environment of the invention is within in a packet switched network configured to link a plurality of computers. Located throughout the packet switched network are various switches, hubs and routers. Although the invention is suited for use and would provide benefit to any device configured to analyze or process packets, one particular implementation of the invention is in a packet routing device in a network, such as a packet switched network. It should be noted that the term processing device, such as a router, is defined to mean any device capable of analyzing a data item and selecting between two or more different processing options for the data item based on the analysis. In

addition, the term network is defined to mean any configuration of one or more electronic devices configured to exchange data or information, including any computer, communication, or data network currently in use or that may be developed in the future. The term data item is defined to mean any amount or assembly of data (payload) that includes supplemental data, such as for example a header, that provides supplemental information regarding the payload in the data item.

[017] In a typical network a plurality of computers communicate by forming data for transmission into packets. Figure 1 illustrates a packet 100 having a header portion 102 and a payload portion 104. The packet 100 is one example of a data item. Figure 2 illustrates an example configuration of the packet 100. As can be seen from Figure 2, the header may contain information useful for routing the packet such as source information 202, destination information 204, type of service information 206 and other information. As shown in Figure 3, it is further contemplated that a tag may be attached to the header portion 102 of the packet. The term header 300 should be interpreted broadly to optionally include a tag.

[018] It is contemplated by the inventors that different packets may have different header configurations depending on the method or protocol under which the packet was constructed. For example, packets constructed under a first









[026] The output of the look-up device 322 provides the offset parameter to an extractor 330. In one embodiment, the offset parameters 324 control the location in the packet at which the extractor 330 copies data from the packet. The copied data forms the search key 336, which is shown in Figure 4B.

[027] In one embodiment the extractor 330 selects a nibble as the unit of extraction. In an other embodiment the unit of extraction comprises a bit. In an other embodiment the unit of extraction comprises a byte. In an other embodiment the unit of extraction comprises a word. In other embodiments other amount of data may be extracted.

[028] Hence, in one example embodiment the extractor 330 sequentially extracts a nibble of data from the packet at the locations specified by the offset parameter. In one embodiment the location specified in the offset parameter 324 is an offset from the start of the packet header or the start of the packet tag. Thus, at each of the thirty-two offset parameters, the extractor 330 copies a nibble of data to form the search key 336.

[029] Turning now to Figure 4B, a continuation of the process diagram on the example embodiment is further illustrated. The example search key 336 as might be generated by the extractor 330 may comprise a string of data configured to



contain relevant information regarding the packet. The search key 336 comprises any amount or configuration of data as is desired to perform a search based on the content of the search key 336. In one embodiment the search key contains data from the packet that is used to perform a look-up.

[030] As shown in Figure 4B, the search key 336 may be provided to a look-up device 340. In one embodiment the search key 336 comprises an input to a route table for route or next hop look-up. In one embodiment the search key 336 comprises 128 bits of information. As an advantage over the prior art, the search key 336 can be of any length. In one embodiment the size of the search key 336 is the same as the input width of the look-up device 340. As a result, if the look-up process occurs at high speed, the entire search key may be processed in a single operation and as a result rapidly yield a response key in a single operation. In systems of the prior art, large amounts of data required more memory cycles or processor cycles to process. Moreover, because the search key 336 may be generated in high speed and may be of any length, the current invention allows a more content rich search key to be generated. This results in complex matching in the look-up device 340 and more robust and feature rich searches and search results. More fields may be limited or interjected to the search thereby providing more route and processing options and capabilities. The search key may comprise data from any portion of the header or payload to thereby create an

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information rich key that can be used in detailed search operations. In one example embodiment the search key includes information regarding type of service information or type of content instead of or in addition to destination, route or the protocol of the packet. In broad terms, the 'content' of the packet may be analyzed and processing may occur based on the 'content.' Hence in one embodiment the invention may be trained or configured to recognize the content or application specific information and perform functions based on the content or application specific information. As a result, exemplary packets containing telephone call information, streaming video, or e-mail, or arriving over a particular port, may be handled differently and as flexibly controlled by an administrator. Other options are contemplated and can be performed by the invention.

[031] It is further contemplated that the search key 336 may be masked to selectively identify portions of the search key for use or matching by the look-up device 340. Masking a string or set of data to isolate or utilize only a portion of the set is generally understood and hence not described in detail herein. Masking provides at least the advantage of selectively utilizing select portions of the search key 336 thereby providing flexibility to the invention. In one embodiment the designation of the mask is software controlled, possibly via a user interface.

Hence, user selectability is provided in a high speed system that does not suffer from the software/processor drawbacks of the prior art.

[032] It is contemplated that the search key 336 is provided to the look-up device 340 to execute a search or other matching process to locate a value associated with a match or closest match to the search key. The look-up device 340 comprises any apparatus or system capable of matching the input with a stored value and outputting one or more associated values. In one embodiment the look-up device 340 comprises a content addressable memory. Any look-up, table, or matching device may be used to implement the invention. It is desired that the look-up device 340 operate at sufficient speed to thereby not slow system operation.

[033] For purposes of discussion, the look-up device 340 output is referred to as a response key 344. In one embodiment the response key 344 comprises a sixteen byte data string. In one embodiment the response key 344 comprises data that at least controls the next-hop destination of the packet. One advantage of the invention over the prior art is that the invention may provide a more robust output based on the search key 336. As a result, more complete and flexible packet routing or processing may occur. In addition, in some embodiments information beyond basic packet routing information may be provided by the input of the



[035] Figure 5 illustrates a block diagram of an example configuration of the invention. In this embodiment a processing device is configured as a packet router 400. In other embodiments the invention may be realized in configurations other than a router. The router 400 includes one or more input lines or ports 402 that connect to an input processing module 406. The input processing module 406 performs processing as is known in the art for receiving data from a transmission line and preparing the data for analysis.

[036] In the embodiment shown, the input processing module 406 connects to a memory 410. The memory 410 may store the packet during analysis and processing. The memory may comprise any type of memory capable of storing digital data. In one embodiment the memory comprises SDRAM. In other embodiments the memory 410 comprises RAM, DRAM, RDRAM, flash memory, optical memory, disk drive, or any combination of these types of memories. The input processing module 406 also connects to a controller 412. The controller comprises logic and other hardware configured to operate at high speed. The controller 412, if comprised of control logic, may be integrated throughout the router 400 as required to achieve operation. In one embodiment the controller 412 comprises a state machine. In another embodiment the controller 412 comprises a compilation of logic that may be interspersed through the system.

[037] The memory 410 also connects to a packet protocol analysis module 416 as does the controller 412. The controller 412 also connects to or is in communication with a protocol look-up table 420, an extraction module 424, a look-up device 428, an admission control unit 432 and an output processing module 440. An output of the packet protocol analysis module 416 feeds into the protocol look-up table 420. The output of the protocol look-up table 420 connects to the extraction unit 424. The output of the extraction unit 424 connects to the table device 428. The output of the table device 428 connects to the admission control unit 432, and the admission control unit connects to the output processing module 440.

[038] The packet protocol analysis module 416 may comprise a state machine, a processor with associated software, a register with associated comparator and counter, CPU with appropriate software, or any other apparatus or system configured to extract information regarding the configuration of a data item.

[039] The extraction unit 424 may comprise a state machine, a processor with associated software, a register with associated comparator and counter, CPU with appropriate software, or any other apparatus or system configured to extract information or data from the a data item or packet. In one embodiment the

extraction unit 424 extracts a search key and is controlled at least in part by the protocol look-up table output.

[040] The protocol look-up table 420 and the look-up 428 device may comprise any type of content addressable memory, a processor with associated memory, logic, or any other device capable of receiving an input, matching the input to content of the device, and outputting a value associated with the match. It is contemplated that the protocol look-up table 420 and the look-up device 428 may comprise the same type device.

[041] The admission control unit 432 comprises an optional device configured to selectively accept packets for admission to a queuing system (not shown) and the output processing 440. The admission control unit 432 may be configured to take advantage of the robust response key provided by the table device 428 and to provide any type of selective packet processing that is at least controlled in part by the output of the look-up device 428, such as the response key. The output processing unit 440 comprises standard output processing as is known in the art. An output 442 provides egress for data items.

[042] Also shown in Figure 5 is a CPU 446 and a user interface 448 configured to provide access for a user to populate the protocol look-up table 420 and the

look-up device 428. The CPU 446 and user interface 448 may comprise any type device as contemplated to provide user input to the system 400.

[043] Figure 6 illustrates a block diagram of an example embodiment of an extractor. Examples of extractors shown in Figure 5 may include the packet protocol analysis module 416 and the extraction unit 424. The example embodiment shown in Figure 5 is but one example embodiment of a mechanism or process to obtain or copy data from a packet or data item.

[044] An incoming packet or data item 500 is represented as a plurality of units 502. The units of the packet may comprise any size or amount of data, such as but not limited to a bit, nibble, byte, or word. For purposes of understanding and discussion, it is assumed in this explanatory figure that the data of the packet is progressing from left to right. Thus, the data can be considered to be scrolling by the inputs to an offset counter 510 and an extractor 512. As an advantage of the invention, the invention may be configured to operate 'on the fly' such that as the packet data is progressing through the system, it may be processed and analyzed in accord with the teaching of the invention.

[045] The offset counter 510 is a counter configured to increment an offset count as each unit 502 of the packet 500 progresses through the system. Thus the offset



count represents the number units from the start of the packet the extractor is poised or able to extract data. The output of the offset counter feeds into a comparator 516. The comparator 516 compares the offset value against the offset parameter 324 that is also provided to the comparator. The offset parameter comprises information regarding the location, expressed as an offset from the start of a packet, at which desired information resides.

[046] The extractor 512 is in communication with the comparator 516 and is further configured to copy or extract data from the packet. In one embodiment the comparator 516 controls when the extractor 512 copies data from the packet and forms a data string 520. The data string 520 may be of any length and is controlled by the configuration of the system, the size of each unit 502 and the number of unit(s) copied from the passing packet 500. In one embodiment the data copied from the packet may comprise the search key. In one embodiment the data copied from the packet is copied from the header.

[047] Although the system of Figures 5 and 6 are described as operating on a packet, it is contemplated that the invention may analyze and process any string of data. In addition, the system of Figure 6 may be configured to generate the protocol key. In such an embodiment the comparator 516 instructs the extractor 512 to copy data from the packet that reveals the arrangement of the data in the packet, and in particular the header. At the appropriate time or location, which may be identified

by an offset, the comparator 516 controls the extractor 512 to copy the portion of the packet available at the extractor input to create a protocol key 520. It is contemplated that other methods of creating or extracting the protocol key and the search key are possible and within the scope of the invention described herein.

[048] Figure 7 illustrates an flow diagram of one example method of operation of the invention. This is one possible implementation of the invention. Other embodiments, configurations, or variations are contemplated by the inventors. This example embodiment is described in connection with packet (data item) processing as might occur in a packet switched network. Accordingly at a step 600 a packet is received for processing. Various different types of processing may occur on the packet including but not limited to processing to at least in part control packet routing, type of service provided to the packet, drop decisions, protocol handling or selection decisions, or any other processing decision as may be contemplated.

[049] Receipt of the packet at a step 600 may include associated processing as is understood by those of ordinary skill in the art. The packet may be stored in memory or processed as it progresses through the system. Next, at a step 604 the method extracts a protocol key from the packet. In one embodiment the protocol key comprises information from the packet indicative of the protocol under which the packet was assembled or information that can reveal the location of data within the data item. The protocol of the packet may determine the location of relevant

information within the header. Example protocols comprise IPv4, IPv6, DiffServ. The protocol key may be extracted from any location of the header, tag, or payload. The term tag encompasses MPLS. In one embodiment the protocol key comprises the first two bytes of the header. In other embodiments different sized portions of different parts of the header or a tag may be selected as the protocol key. At a step 608 the method may selectively mask the protocol key. Masking the protocol key provides or selects only certain portions of the protocol key to later stages of processing. Different masks may be applied based on the desired operation of the system. The mask may be controlled by a CPU, user interface, or based on the protocol of the packet and it is contemplated that the mask may be software determinable.

[050] At a step 612 the masked protocol key is provided to a first look-up device. The first look-up device may comprises any look-up device that provides output information based on a match or closest match to inputted data, such as the protocol key. In one embodiment the first look-up device comprises a 16 position content addressable memory. Any size look-up device may be used. The look-up device performs searches for a match or the closest match to the received protocol key. Upon finding a match or closest match, the look-up device outputs a value that corresponds to or is associated with the protocol key. In one embodiment a default output is provided if an acceptable match does not occur.

[051] The output of the first look-up device is defined as the offset parameters. In one embodiment the offset parameters define a location, specified by an offset from the start of the packet, at which the system should extract information from the packet. In one embodiment the extracted information comprises a search key. The offset may be defined as the start of the header or the start of the tag or any other position in the packet. In one embodiment the offset parameters comprise thirty-two offsets. In one embodiment the extraction processes extracts a nibble of information at each offset location. In other embodiments any number of offset may be defined and any quantity of information may be read or extracted from the packet.

[052] At a step 616, the method begins extracting information from the packet at the locations defined by the offset and assembling the extracted information into a search key. Examples of the type of information that may be extracted include but are not limited to source address, destination address, type of service information, TCP/UDP source port, TCP/UDP destination port, IP protocol byte, and packet size information. Next, at step 620 the method determines if it has completed extraction of the search key data from the packet. If the process is not completed, the operation returns to step 616 and the extraction process continues. If at step 620 the operation is complete, then the method advances to step 624. In an alternative method of operation the header data is sequentially analyzed as the packet enters or is processed by the system. The search key or the protocol key is extracted as the data is sequenced through the system. Thus, in such an embodiment step 620 is

complete when the packet or header has sequenced through the extraction system.

[053] At step 624, the method may selectively mask the search key. Masking the search key selects only portions of the search key for use by subsequent portions of the system. The masking may be software determinable or controlled, such as for example by system software at the time the search key is obtained.

[054] After the search key is optionally masked, the method provides the masked search key to a second look-up device. This occurs at step 628. In another embodiment a single look-up device replaces both the first look-up device and the second look-up device. The second look-up device may comprise a content addressable memory. The second look-up device performs a look-up, using the search key as the look-up key to find a match or a closest match. If a match or a closest match is found, the second look-up device outputs an associated or corresponding entry. A default entry may be output if a match or sufficiently close match does not occur. If a match or closest match is not found, then the look-up device may output a default value. The first and/or second look-up device may be programed via a user or CPU interface.

[055] The output of the second look-up device may comprise a response key. In one embodiment the response key comprises information used to at least partially

control processing of the packet. Thus, at step 632, the method processes the packet based on the response key. Processing may comprises assigning the packet to one or more of a plurality of queues, wherein each queue is assigned a different transmit priority. In other embodiments or methods the output of the look-up device comprises information used for packet processing other than packet routing.

[056] It will be understood that the above described arrangements of apparatus and the method therefrom are merely illustrative of applications of the principles of this invention and many other embodiments and modifications may be made without departing from the spirit and scope of the invention as defined in the claims.